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Sex- and age-specific genetic analysis of chronic back pain

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Abstract

Sex differences for chronic back pain (cBP) have been reported, with females usually exhibiting greater morbidity, severity and poorer response to treatment. Genetic factors acting in an age-specific manner have been implicated but never comprehensively explored. We performed sex- and age-stratified GWAS and SNP-by-sex interaction analysis for cBP defined as “Back pain for 3+ months” in 202,077 males and 237,754 females of European ancestry from UK Biobank. Two and seven non-overlapping genome-wide significant loci were identified for males and females, respectively. A male-specific locus on chromosome 10 near *SPOCK2* gene was replicated in four independent cohorts. Four loci demonstrated SNP-by-sex interaction, although none of them were formally replicated. SNP-explained heritability was higher in females (0.079 vs 0.067, $p = 0.006$). There was a high, although not complete, genetic correlation between the sexes ($r = 0.838 \pm 0.041$, different from 1 with $p = 7.8E-05$). Genetic correlation between the sexes for cBP decreased with age (0.858 ± 0.049 in younger people vs 0.544 ± 0.157 in older people; $p = 4.3E-05$). There was a stronger genetic correlation of cBP with self-reported diagnosis of intervertebral disc degeneration in males than in females (0.889 vs 0.638 ; $p = 3.7E-06$). Thus, the genetic component of cBP in the UK Biobank exhibits a mild sex- and age-dependency. This provides an insight into the possible causes of sex- and age-specificity in epidemiology and pathophysiology of cBP and chronic pain at other anatomical sites.

INTRODUCTION

Epidemiological studies provide evidence for different risk of back pain (BP) between the sexes, with women usually demonstrating greater prevalence, severity and chronicity than men (2, 6, 10, 23, 36, 41, 42, 45, 50, 55). This may be explained in part by variation in socioeconomic, biological and psychological factors (gender disparities, sex hormones, BMI, depression, pain behaviours) (6, 42, 45, 55). However even after adjustment for these factors, females remain more affected by BP (42, 45). Sex differences also exist for the prevalence and severity of other chronic pain conditions (e.g. fibromyalgia, migraine, and irritable bowel syndrome), and their response to pain treatment (3) suggesting a general propensity for women to develop (or perceive and report) chronic pain rather than structural or anatomical differences.

The phenomenon of sex-specificity in complex disease is well known and various factors have been implicated, including hormone profiles and behavioural factors (40, 49, 52). Apart from these, genetic factors have also been considered as one of the possible contributors (20, 24, 35, 39, 51). While mechanisms of sex-specificity in chronic pain have been rigorously studied with respect to hormone levels, pain perception, psychosocial and behavioural factors (comprehensively reviewed in (3, 16)), few studies have explored the sex-specific impact of genetics on pain (4, 31, 33, 53). Classical twin studies provide some evidence for differential contribution of genetic factors to BP in males and females. Even though in a younger sample (16-41 years) no differences in heritability for lifetime risk of BP was observed between the sexes (22), different heritability estimates for BP have been obtained in people aged 70 years and older with modest additive genetic effects in men, but not in women (21). The same trend was observed for chronic neck pain (15). Using both a SNP-based approach and classical twin modelling, differential heritability estimates for the sexes have been demonstrated in a number of traits having high genetic or phenotypic correlation with BP such as waist circumference (19), obesity-related anthropometric traits (12, 19), subjective well-being (34), and insomnia (13). This raises the interesting possibility that sex-specific genetic risk factors for BP may explain sex-specificity in BP.

We have previously examined the UK Biobank dataset (47) to study genetic associations with BP, identifying three genome-wide significant loci (18). In the current study we set out to carry out SNP-by-sex interaction analysis for chronic BP (cBP), defined as BP lasting at least 3 months. We also explored age-specificity of genetic factors in cBP in males and females by the analysis of age-stratified groups.

METHODS

Study overview

The study pipeline is overviewed on Figure 1. First, we carried out sex- and age-specific GWASs for cBP in the discovery sample of Europeans from UK Biobank. Second, we carried out SNP-by-sex interaction analysis for sex-specific genetic markers. Third, we performed replication analysis of sex-specific loci and significant SNP-by-sex interaction loci in four independent cohorts. Then, we estimated and compared SNP-based heritability between the sex- and age-specific groups. Finally, we carried out a comparative sex- and age-specific analysis of genetic correlations for cBP and a wide range of complex traits from a publicly available database.

Sample and phenotype definition

The discovery sample for the study has been taken from UK Biobank, a resource following health and well-being of over 500,000 volunteer participants. The details of recruitment and assessment of the participants are described in full elsewhere (47). Briefly, the participants comprise people aged 40-69 year at the time of recruitment who were registered with a general practitioner in the UK. The participants were enrolled in 2006-2010 in 22 assessment centres in England, Wales, and Scotland and completed detailed touch-screen questionnaires on their demographics, lifestyle, health, and environment. Invitations to take part in UK Biobank have been issued to about 9.2 million individuals, of which about 5.5% accepted. Among other items, self-reported ethnic background has been assessed (White, Asian or Asian British, Black or Black British, Chinese, Mixed, and other). Additionally, genetic principal components have been used by UK Biobank to identify a more genetically homogeneous group of white Europeans (Caucasians) among those who self-classified as "White British".

For the purpose of the current study, we used the discovery sample comprised 451,324 European participants of UK Biobank who self-classified as "White British" ethnicity and were genetically similar by genetic principal components, as well as individuals who belonged to the same genetic PC cluster as the "White British" despite self-reporting other ethnic ancestry as described previously (18).

The phenotype of cBP was defined through a combination of the UK Biobank data-fields 6159 accumulating responses to the question: "Pain type(s) experienced in last month" and the UK Biobank data-field 3571: "Back pain for 3+ months". Those who indicated "Back pain" in response to the data-field 6159 (Pain types(s)) question and also replied "Yes" to the data-field 3571 (Back pain for 3+ months) question, were classified as cases. Those who did not indicate "Back pain" in response to the data-field 6159 or replied "No" to the data field 3571 question, were classified as

controls. Individuals who replied “Do not know” or “Prefer not to answer” to any of the questions were excluded. We also excluded those who reported the presence of “General pain for 3+ months” (data-field 2956) as this may represent chronic widespread musculoskeletal pain or fibromyalgia. The remaining sample comprised 439,831 individuals including 202,077 males (35,705 cases, 17.7%) and 237,754 females (43,230 cases, 18.2%). Mean age (\pm SD) in males and females was 57.5 ± 8.1 and 57.1 ± 7.9 years, respectively, and mean BMI was 27.9 ± 4.2 and 27.0 ± 5.1 kg/m², respectively.

Replication was carried out using European individuals from four datasets: Generation Scotland: Scottish Family Health Study (UK); the Orofacial Pain: Prospective Evaluation and Risk Assessment (OPPERA) cohort (US); the Nord-Trøndelag Health Study (HUNT) cohort (Norway); and the English Longitudinal Study of Aging (ELSA) cohort (UK) (Table 1).

Generation Scotland is a family-based genetic epidemiology study of 24,000 volunteers across Scotland aged 18-98 years (44). The replication sample for the current study comprised 19,599 individuals including 8,023 males and 11,576 females. The phenotype of chronic back pain was defined as the following. Participants first answer a question: “Are you currently troubled by pain or discomfort?”; those who reply positively are queried: “Have you had this pain or discomfort for more than 3 months?”. To those who reply positively, the questionnaire gives specific sites participants can select: back pain; neck or shoulder pain; headache; facial or dental pain; stomach ache or abdominal pain; pain in your arms, hands, hips, legs or feet; chest pain; and other pain. Accordingly, the definition of chronic back pain cases in GS: those who selected Back pain option, while the controls – all other participants.

OPPERA is a project aiming to investigate the impact of genetic, physiological, psychological and clinical factors on the development of painful temporomandibular disorder (1). The replication sample for the current study comprised 1,584 individuals including 575 males and 1,009 females. The phenotype of chronic back pain was defined using the comprehensive pain survey questionnaire as the following: Participants that reported having more than 5 episodes of back pain in the last year and those that reported between 2-4 episodes last year and that the episode lasted more than two hours. Participants reporting chronic widespread pain and fibromyalgia were excluded.

The HUNT study is a population-based cohort of 125,000 Norwegian participants recruited during three waves between 1984-2008 (25). The replication sample for the current study comprised 66,534 individuals including 32,362 males and 34,172 females. The phenotype of cBP was defined as the following. The questionnaire data were used, with the participants who have replied “Yes” to ‘During the last year, have you suffered from pain and/or stiffness in your muscles and joints that has lasted for at least three consecutive months?’ and listed lower back or upper back pain as a relevant region

to the question ‘Where did you have these complaints?’, were classified as having cBP. Participants who self-reported or had hospital-diagnosed fibromyalgia were excluded both from cases and controls for greater compatibility with the UK Biobank definition of cBP used in the current study.

ELSA cohort is a longitudinal study of more than 27,000 individuals recruited during eight waves since 2002 (46). The replication sample for the current study comprised 6,115 individuals including 2,780 males and 3,335 females. The phenotype of cBP was defined as the following. The questionnaires across waves 3 to 8 were assessed and those who positively responded to the questions “Whether often troubled with pain” and “Whether feel pain in back” were considered to have BP during a particular wave, while those who replied negatively to the first and/or second question were considered not to have BP. After obtaining these data in each wave separately, those who were cases in at least two waves were defined as cBP cases, while the rest were defined as controls.

Genome-wide association study

GWAS in the discovery sample was carried out in males and females separately using BOLT-LMM v 2.3.2 (27). Linear additive genetic model was fitted adjusting for age, genotyping array type and the first 10 genetic principal components provided by UK Biobank. The following filters were applied: minor allele frequency >0.001, genotyping and individual call rates >0.98%, imputation quality score (INFO) >0.7. A total of 14,828,942 autosomal and X-chromosomal biallelic single nucleotide polymorphisms (SNPs) and short insertions/deletions remained after filters applied were analysed. The genome-wide significance threshold was taken as $p < 2.5E-08$ accounting for two GWAS studies in males and females.

Leading independent SNPs in associated loci were established by Conditional and Joint Association (COJO) analysis (57). This method tests significant SNPs in the locus of association and identifies genetic variants having the strongest effect independent of the presence of other variants in linkage disequilibrium (LD). LD score regression was applied to quantify the impact of polygenicity and unobserved confounders on the results of GWAS (9). The proportion of cBP risk variance explained by the analysed genetic factors (SNP-based heritability) was calculated using BOLT-LMM v2.3.2 and compared between males and females using z-statistic (38).

SNP-by-sex interaction analysis

SNP-by-sex interaction analysis was carried out via a comparison of SNP-effect size (regression coefficients from GWAS) in males and females using t-statistic (38). Prior to estimating the t-statistic, SNP-effects and standard errors were scaled by dividing them by the phenotype variance to account for the use of a linear regression model for a categorical phenotype having unequal prevalence in

the comparison groups. This was performed for lead SNPs from male- and female-specific GWAS only ($n = 9$); accordingly, the significance threshold was set as $p = 0.05/9 = 0.0056$.

Replication

For replication, association analyses were carried out in each cohort separately using appropriate software (Table 1). OPPERA cohort applied logistic regression adjusting for age, genetic principal components and technical covariates. Other cohorts applied linear mixed-effects models adjusting for age, cohort-specific covariates and relatedness via genetic kinship matrices. Meta-analysis of the replication cohorts was performed by Z-score approach implemented in METAL software (56) for sex-specific GWAS signals and by Fisher's combined probability test for SNP-by-sex interaction (17).

Genetic correlations

Genetic correlation is a measure of similarity between traits due to shared genetic factors. LD score regression was used to calculate the genetic correlations (8). Genetic correlations were calculated for cBP in males vs females; also they were calculated in sex-stratified groups between cBP and 832 complex traits available on LDhub (8, 59) and ten traits considered as putative risk factors for BP, which have previously been identified as having statistically significant genetic correlation with BP (18): osteoarthritis, self-reported intervertebral disc problems, scoliosis, smoking, BMI, well-being, intelligence, educational attainment, anxiety, and depression. Genetic correlations between cBP and other traits were compared between males and females using z-statistic after applying Fisher's z-transformation.

RESULTS

Age-specific prevalence of chronic back pain in UK Biobank

The prevalence of cBP in the total sample of 439,831 European individuals from UK Biobank was significantly lower in males vs females: 17.7% vs 18.2%, $p = 1.4E-08$. The prevalence of cBP in males remained fairly constant over age, while in females there was a gradual increase in prevalence with age, becoming significantly different between the sexes > 65 years (Figure 2). This pattern of higher cBP prevalence in older females is consistent with the results of meta-analysis of other cohorts (54). Based on this we divided the sample into two age strata “Younger than 65” ($n = 158,245$ males; $n = 193,265$ females) and “65+” ($n = 43,832$ males, $n = 44,489$ females). In the younger group, prevalence of cBP was the same in males and females (17.7%) but the older group showed statistically significantly higher prevalence among females (17.7 vs 20.2%; $p = 0.002$). Subsequent sex-specific genetic analysis was carried out in the total sample and within age strata.

Sex-specific genetic loci for chronic back pain

The results of GWAS for cBP in for males and females from UK Biobank are shown in Figure 3 and in Table 2. In males, 2 associated genetic loci were identified with the lead SNPs rs1678626 (10:73826335; at *SPOCK2*; $\beta = -0.0068 \pm 0.0012$; $p = 2.4E-08$), and rs72922230 (18:50394407; at *DCC*; $\beta = -0.0069 \pm 0.0012$; $p = 2.4E-08$). In females, 7 genetic loci were identified: rs367563576 (1:150495378; near *LINC00568*; $\beta = 0.0067 \pm 0.0012$; $p = 7.6E-09$), rs62327819 (4:147211141; at *SLC10A7*; $\beta = -0.0070 \pm 0.0012$; $p = 8.1E-09$), rs1039325 (5:30761421; near *RP11-136H13.2*; $\beta = -0.0065 \pm 0.0011$; $p = 8.7E-09$), rs116007789 (7:101223945; near *LINC01007*; $\beta = -0.0785 \pm 0.0133$; $p = 3.3E-09$), rs7834973 (8:69639672; at *C8orf34*; $\beta = -0.0068 \pm 0.0012$; $p = 4.2E-09$), rs12308843 (12:23974404; at *SOX5*; $\beta = -0.0103 \pm 0.0013$; $p = 9.4E-15$), and rs2391333 (13:107166694; at *EFNB2*; $\beta = -0.0066 \pm 0.0012$; $p = 1.9E-08$).

In both sexes, LD score regression indicated high polygenicity and no evidence for confounding in the results of GWAS (for males: $\lambda_{GC} = 1.1459$, intercept = 1.0093 ± 0.0074 , and ratio (the impact of confounder-driven inflation) = 0.0530 ± 0.0419 ; for females, $\lambda_{GC} = 1.2005$, intercept = 1.0053 ± 0.0068 , and ratio = 0.0215 ± 0.0273).

The genome-wide significant loci observed in males and females were mutually exclusive: i.e., genome-wide significant loci for one sex were not genome-wide significant in the other sex. Moreover, for 4 of the loci detected in females, the effect sizes were statistically significantly different from those seen in males, suggesting SNP-by-sex interaction (Table 2): rs367563576

(1:150495378; adjusted $p_{\text{int}} = 0.0315$); rs62327819 (4:147211141; adjusted $p_{\text{int}} = 1.0\text{E-}08$); rs1039325 (5:30761421; adjusted $p_{\text{int}} = 0.0243$); and rs2391333 (13:107166694; adjusted $p_{\text{int}} = 0.0045$).

Replication of sex-specific associations

Replication was attempted for the lead 9 SNPs using 4 independent cohorts (Table 3). One out of the nine loci was replicated after adjusting for multiple testing ($p < 0.0056 = 0.05/9$): the locus tagged by rs1678626 on chromosome 10 near *SPOCK2* gene in males ($Z = -2.992$; $p = 0.0028$). The direction of the effect for rs1678626 was consistent across samples (rs1678626*T allele is protective). The locus tagged by rs62327819 on chromosome 4 near *SLC10A7* gene exhibited a significant p-value in females ($Z = 2.818$; $p = 0.0048$), but had opposite direction of effect (rs62327819*C allele is protective in discovery, but risk-increasing in replication). Also, in females a nominally significant replication was observed for the chromosome 13 locus near *EFNB2* gene tagged by rs2391333 ($Z = 2.237$; $p = 0.0253$). The strongest signal in the discovery in females on chromosome 12 near *SOX5* tagged by rs12308843 was not replicated ($Z = -1.885$; $p = 0.0595$) but showed the same direction of the effect (rs12308843*G allele is protective).

Replication of top SNP-by-sex interaction signals

Replication was attempted for the top SNPs in the 4 regions of the significant SNP-by-sex interactions (Table 4; Supplementary Figure 1). Either the top SNPs or proxy SNPs with $\text{LD} > 0.9$ with the top SNP depending on the availability in replication cohorts were used. Only for the locus on chromosome 4, Fisher's combined probability test suggested a statistically significant SNP-by-sex interaction ($\chi^2 = 22.9$, $\text{df} = 8$, $p = 0.0035$; Table 4). However, despite it appearing to replicate, there is a discrepancy in the direction of effect between UK Biobank and the replication cohorts. Namely, in UK Biobank the rs7682719*T allele is positively associated with cBP in males and negatively in females, resulting in a positive sign for t-statistics for interaction (Table 2; Supplementary Figure 1), while the t-statistics in all replication studies are in the opposite direction (Table 2; Supplementary Figure 1). Also, there is a discrepancy in nominally significant associations in the replication cohorts. Namely, the SNP is nominally associated with BP in males in OPPERA, while it is nominally associated with BP in females in HUNT and ELSA (which is consistent with UK Biobank results). Thus, the results of replication do not support SNP-by-sex interaction for chromosome 4 locus established in UK Biobank.

SNP-heritability of chronic back pain by sex and age

In both age groups the SNP-based heritability was higher in females, and this effect was the most pronounced in the “65+” age group (Table 5). Trends towards lower heritability in males over age 65 and higher heritability in females over age 65 was observed, though these did not reach statistical significance. Genome-wide summary statistics were also available for Generation Scotland which allowed estimating SNP-based heritability. Consistent with the findings in UK Biobank, estimated heritability was higher in females compared to males in Generation Scotland: 0.129 ± 0.020 vs 0.064 ± 0.027 , respectively, with a borderline statistical significance of the difference ($p = 0.053$).

Sex-specific genetic correlation

We estimated and compared the genetic correlation for cBP between males and females and also compared the genetic correlation for cBP and its risk factors between males and females. We applied both a hypothesis-driven approach using 10 traits considered to be risk factors for cBP and an agnostic approach using all complex traits available on LDHub.

The sexes were highly genetically correlated for risk of cBP, particularly in the young: total sample, 0.8377 ± 0.0406 ($p = 1.8E-94$); “Younger than 65” age group, 0.8582 ± 0.0494 ($p = 1.3E-67$); and “65+” age group, 0.5444 ± 0.1565 ($p = 5.0E-04$). Between-sex genetic correlations within the age groups were statistically significantly different ($p = 4.3E-05$) (Figure 4, left panel). At the same time, between-age genetic correlation within sex strata did not differ significantly: 0.7656 ± 0.1209 vs. 0.8585 ± 0.0948 in males and females, respectively ($p = 0.072$) (Figure 4, right panel).

In females there was a significant genetic correlation between cBP and 9 of the 10 risk factors (except anxiety/panic attacks) (Table 6). For males genetic correlation was seen between cBP with most risk factors except anxiety/panic attacks and scoliosis (Table 6). A significant difference in genetic correlation in males and females was observed for self-reported intervertebral disc problems: $r_g = 0.889$ vs $r_g = 0.638$ in males and females, respectively ($p_{\text{adj}} = 6.7E-06$). Also, nominally significant differences of genetic correlation were found for cBP with peripheral joint osteoarthritis and BMI: $p = 0.042$ and 0.045 , respectively.

Next, we analysed the genetic correlation between cBP and 10 risk factors as above by age groups followed by a comparison between them. In the “Younger than 65” group the genetic correlation in males and females were similar to that of the whole sample (Supplementary Table 1) including the difference in genetic correlation for self-reported intervertebral disc problems: 0.866 vs 0.659 in males and females, respectively ($p_{\text{adj}} = 1.3E-04$). However, in the “65+” group the following

1 differences compared to the whole sample were observed: no statistically significant genetic
2 correlations of chronic BP with depression and smoking in males, no correlation with scoliosis in
3 females, and no correlation with anxiety in either sex group (Supplementary Table 1). Again, in the
4 “65+ group” there was a significant difference in genetic correlation between the sexes for self-
5 reported intervertebral disc problems: 0.806 vs 0.480 in males and females, respectively ($p_{\text{adj}} =$
6 0.040). There was a nominally significant difference in correlation with depression: 0.137 vs 0.439 in
7 males and females, respectively ($p = 0.008$).
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10 Genetic correlation of cBP with 832 traits available on LDHub were filtered via removal of traits that
11 did not pass LDHub internal quality criteria and those involving back pain definitions (Back pain,
12 chronic back pain, dorsalgia, and “None of the above” response to question “Pain type(s)
13 experienced in last months”). We adjusted the p-value for the number of the remaining traits ($n =$
14 747) and additionally removed traits that were non-significant after adjustment for multiple testing
15 in both sexes. A total of 297 traits remained that were significantly correlated with cBP in at least
16 one sex (Supplementary Table 2), of which 2 traits exhibited statistically significantly different
17 genetic correlations in males vs. females after accounting for multiple comparisons: “Neck or
18 shoulder pain experienced in last months” (0.7405 vs 0.8349 in males and females, respectively; p_{adj}
19 = $8.1\text{E-}04$); and “Serious illness/injury or assault to yourself in last 2 years” (0.3793 vs 0.6404; $p_{\text{adj}} =$
20 $5.5\text{E-}03$).
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DISCUSSION

The current study tested the hypothesis that sex- and age-specificity exists in the genetic predisposition to cBP. The results of the study suggest small but statistically significant differences in SNP-based heritability in males and females (consistently observed in UK Biobank and Generation Scotland). We identified two and seven genome-wide significant loci in males and females, respectively. Comparing the results of the current study with our previous findings (18, 48) reveals that the loci *SPOCK2* and *DCC* found previously are driven by males, while the loci *C8orf346* and *SOX5* seen in previous studies are driven by females.

Only one locus was replicated in the four independent cohorts: *SPOCK2* in males. The lack of replication for other loci may in part be explained by the low power attributable to the rather small effect sizes that achieve significance only upon the use of such a large sample as UK Biobank. However, for the strongest locus in females on chromosome 12 near *SOX5* the power for replication was estimated at 95% considering a single locus and 81% considering 9 loci. For the *SLC10A7* locus in females we detected significant by p-value replication signal although the direction of the effect was opposite in replication compared to UK Biobank. The opposite effect of the *SLC10A7* locus between UK Biobank and replication cohorts raises a possibility of a flip-flop effect, reported seen in a number of genetic studies of complex human traits (26, 60). The phenomenon is thought to be based on the variable patterns of LD between the causal and marker SNPs and/or by the variation in the prevalence of the causal SNP (58). In particular, the effect direction of a weak marker SNP may be driven by the direction of the effect of linked strong causal variants, not explicitly analyzed in a GWAS (e.g. rare variants). At the same time, in another population these strong causal variants may be absent, too rare, or have a different LD pattern, so the direction of effect will be specific for the weak SNP and may be opposite for strong variants resulting in the observed flip-flop in the effect direction.

In total, four of nine sex-specific genomic loci exhibited significant SNP-by-sex interaction. For the locus on chromosome 4 near *SLC10A7* gene, effect direction in replication cohorts was opposite to the UK Biobank; as above. Considering a possibility of a flip-flop effect, the *SLC10A7* locus may be of interest for an in-depth analysis for SNP-by-sex interaction in cBP. None of the other loci were replicated in the independent cohorts. Thus, it is difficult to conclude if the observed SNP-by-sex interactions are specific to the UK Biobank dataset, or replication cohorts were not of sufficient size to detect an association.

Potentially interesting observations include the larger genetic correlation of cBP with self-reported intervertebral disc problems in males vs. females, and larger genetic correlations of cBP with serious

1 illness/injury or assault and neck or shoulder pain in females vs. males. The situation with
2 intervertebral disc problems and neck or shoulder pain is consistent with the different prevalence of
3 these traits in males and females: in people with cBP, the prevalence of self-reported disc problems
4 was higher in males than in females (0.076 vs 0.063; $p = 5.5E-13$) consistent with higher genetic
5 correlations in males compare to females; the prevalence of neck pain was lower in males than in
6 females (0.420 vs 0.471; $p < 2.2E-16$) consistent with higher genetic correlations in females
7 compared to males. However, the prevalence of serious illness/injury or assault to yourself was
8 higher in males with cBP than in females (0.162 vs 0.133; $p < 2.2E-16$), opposite to the expectation
9 based on the differences in genetic correlations that was higher in females. The difference in genetic
10 correlation between males and females may in part reflect the different impact of other factors
11 related to cBP, rather than only differential genetic background between the sexes. For example,
12 one such factor may be doctors' diagnoses: UK General Practitioners may more readily assign a
13 diagnosis of disc degeneration to males rather than females in the presence of cBP, due to a greater
14 social acceptability of cBP in males attributable to an underlying structural problem. This type of
15 social desirability bias could be driven by the attitudes or beliefs of both practitioners and patients
16 and may reflect referral bias for imaging studies. Alternatively, pleiotropy of cBP with disc
17 degeneration, neck pain and injury history may truly differ between the sexes.

18
19 Important observations were made examining the genetic background of cBP by age. Namely, we
20 found variation in SNP-based heritability including its trend to decrease with age in males and
21 increase in females. For many complex traits, heritability tends to decline with age but for some
22 traits the opposite trend has also been reported (5, 7, 32, 37, 43). Explanations of this phenomenon
23 include varying contribution of environmental influence with age as well as different genetic factors
24 contributing to the risk of diseases or phenotypes manifestation in different age groups (37).
25 Similarly, the same disease phenotype may reflect different underlying pathology at different ages
26 (32).

27
28 Genetic correlation for cBP between males and females fell with age, too. Finally, the structure of
29 genetic correlations of cBP and its putative risk factors changed with age. In particular, there was a
30 decline in genetic correlation between cBP and depression in males with age, which was not seen in
31 females, leading to a large magnitude difference in cBP vs depression genetic correlation between
32 males and females in the "65+" age group: 0.137 vs 0.439; $p = 0.008$. Interestingly, the prevalence of
33 depression among people with cBP was lower in males than females in both age groups: 0.077 vs
34 0.123, $p < 2.2E-16$, in males and females, respectively, in the "Younger than 65" group; and 0.046 vs
35 0.078, $p < 2.2E-16$, in males and females, respectively, in the "65+" group. Overall, this suggests
36 changes in the relative contribution of genetic factors in males and females with age.

1 It should be noted that previously genetic correlation between the same trait estimated in family
2 members in different environments (in our cases environment is the gender and age) have been
3 used as an indicator of the gene-environment interaction (28). Falconer (14) suggested that the
4 same trait measured in two different environments can be treated as two different traits. If the
5 family genetic effects do not change across environments or if they are related such that
6 performance of any genotype in environment 2 is proportional to that in environment 1, the genetic
7 correlation of family members across environments is equal to one. The null hypothesis of no
8 significant gene-environment interaction is rejected whenever the genetic correlation across
9 environments is significantly less than one. In our case we used the ideologically similar approach for
10 calculation of genetic correlation using GWAS results calculated in different environments. So, given
11 the genetic correlation significantly less than one between males and females and between young
12 and old, we suggest the existence of gene-by-sex and gene-by- age interactions. These estimates
13 may still be biased by confounders having differential influence on the trait in different
14 environments.
15

16 The study has several limitations. Most of the phenotypes explored were based on self-assessment
17 and are inherently subject to recall bias. This is especially the case for a loosely defined phenotype of
18 cBP. However, as has been seen with other complex phenotypes, self-reported measures may be
19 fully comparable with objective measures (11). Concerning pain phenotypes specifically, UK Biobank
20 data have been shown to be representative of general population and consistent with other studies
21 in terms of chronic pain prevalence and its association with social, demographic, and psychological
22 risk factors (29). Another limitation is the lack of consistency in cBP phenotype definition between
23 the cohorts; this, further complicated by the different prevalence of the phenotype in replication
24 cohorts, might have been one of the reasons we did not replicate the majority of sex-specific
25 genomic loci and SNP-by-sex findings. Finally, we chose to focus only on the loci that were significant
26 in sex-specific GWASs, while a genome-wide SNP-by-sex interaction analysis is warranted. However,
27 the methodology of such the analysis is not yet fully developed and inherently low power for GxE
28 analysis remains a major challenge (30), especially for such a complex and heterogeneous
29 phenotype as BP.
30

31 Overall, our study suggests that the genetic component of cBP in the UK Biobank exhibits a mild sex-
32 and age-dependency raising implications for age- and sex-stratified analysis of cBP. Such analyses
33 may be fruitful for other types of chronic pain, musculoskeletal and somatic, given prior suggestions
34 of sex- and age-specificity in other pain types and locations.
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DATA AVAILABILITY

Summary statistics for GWAS and SNP-by-sex interaction analysis for UK biobank have been
deposited on zenodo.com under doi:10.5281/zenodo.3560890.

COI

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Figures legends

Figure 1 – The study pipeline overview. Sex- and age-specific GWASs for cBP in the discovery sample of Europeans from UK Biobank was carried out. Replication analysis for sex-specific genome-wide significant loci was performed in four independent European cohorts: HUNT (Norway), Generation Scotland (GS, UK), OPPERA (USA), and ELSA (UK). Next, we carried out SNP-by-sex interaction analysis for sex-specific genetic markers followed by replication analysis in the same cohorts. Then, we estimated and compared SNP-based heritability between the sex- and age-specific groups. Finally, we carried out a comparative sex- and age-specific analysis of genetic correlations for cBP and a wide range of complex traits. Details of the samples and methods used are provided in the Table 1 and the Methods section.

Figure 2 – Prevalence of chronic BP by age in males and females in UK Biobank. The dataset has been split into equally sized bins based on quantiles of age distribution. Whiskers indicate 95% CI. * $p < 0.05$. The plot was produced using epiDisplay package for R (<https://cran.r-project.org/web/packages/epiDisplay/epiDisplay.pdf>).

Figure 3 – Miami plot for sex-stratified GWAS for chronic back pain in Northern European sample from UK Biobank. Red line depicts the genome-wide significance threshold ($p < 2.5E-08$). Top panel shows the results for women, bottom panel shows the results for men. The plot was produced using EasyStrata package for R (www.genepi-regensburg.de/easystrata).

Figure 4 – Genetic correlation between males and females by age group (left panel) and between the age groups by sex (right panel). Whiskers represent 95% CI. Between-sex genetic correlations were significantly different in the age groups ($p = 4.3E-05$), while between-age genetic correlations within same sex group were not ($p = 0.072$).

Supplementary Figure 1 – Forests plots for sex-stratified effect sizes in UK Biobank and replication cohorts. Effect sizes in all cohorts except for OPPERA are transformed to log OR using the transformation $\beta/(\mu*(1-\mu))$, where β is linear regression effect size and μ is proportion of cases in the sample. This was done for compatibility with OPPERA cohort that was analysed using logistic regression. Details of cohorts are provided in Table 1. The plots were produced using foresplot package for R (<https://cran.r-project.org/web/packages/forestplot/vignettes/forestplot.html>)

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SUMMARY

Genetic factors of chronic back pain exhibit mild sex- and age-specificity. This raises the need for sex- and age-stratified analyses of chronic pain in future studies.

Figure 1

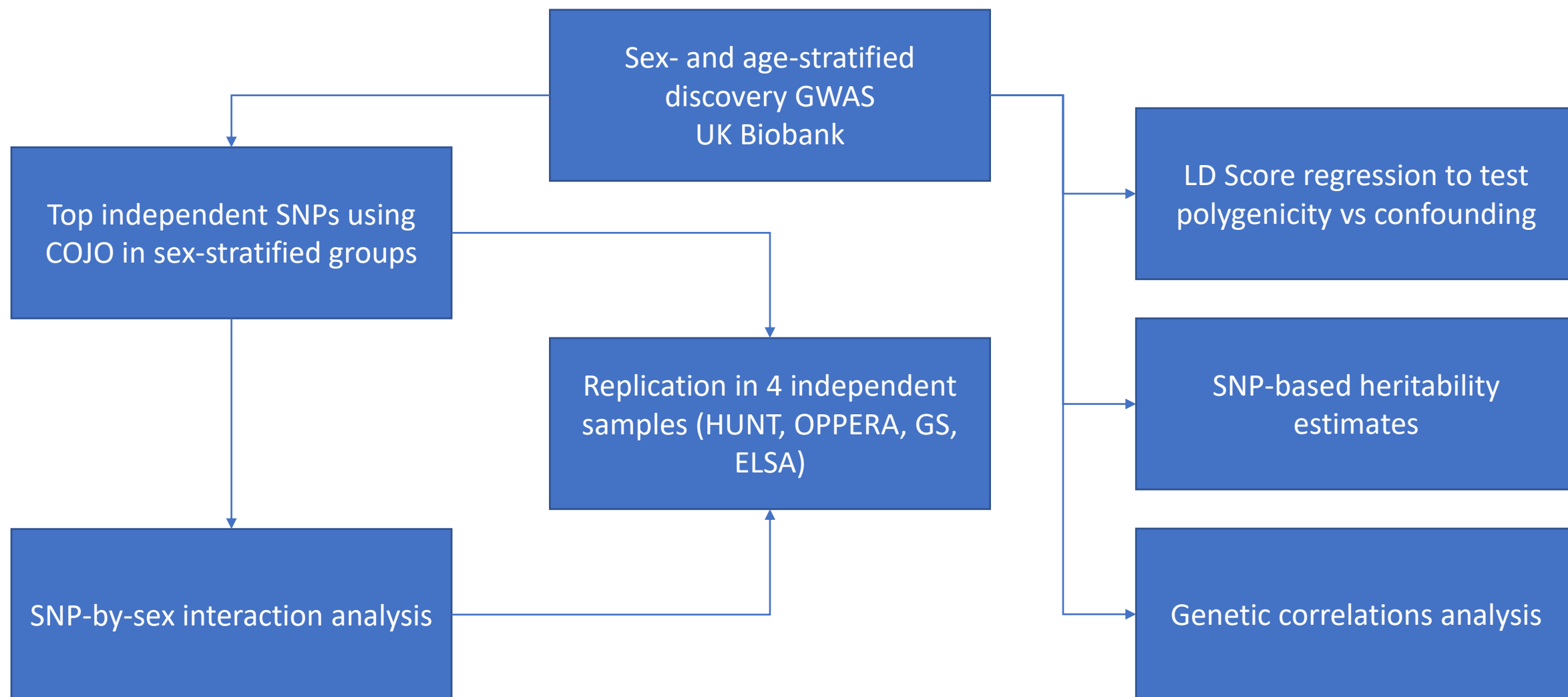


Figure 2

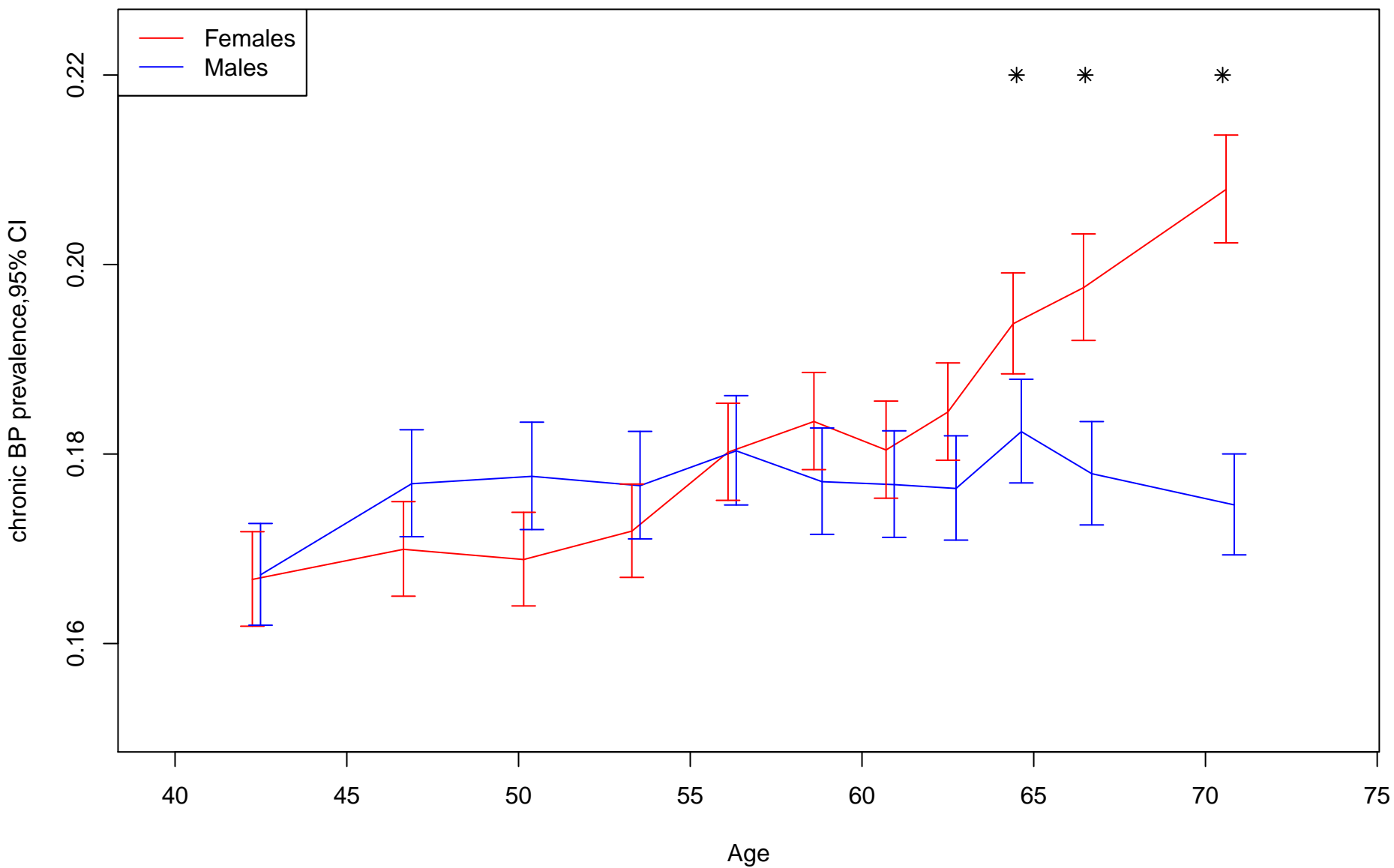


Figure 3

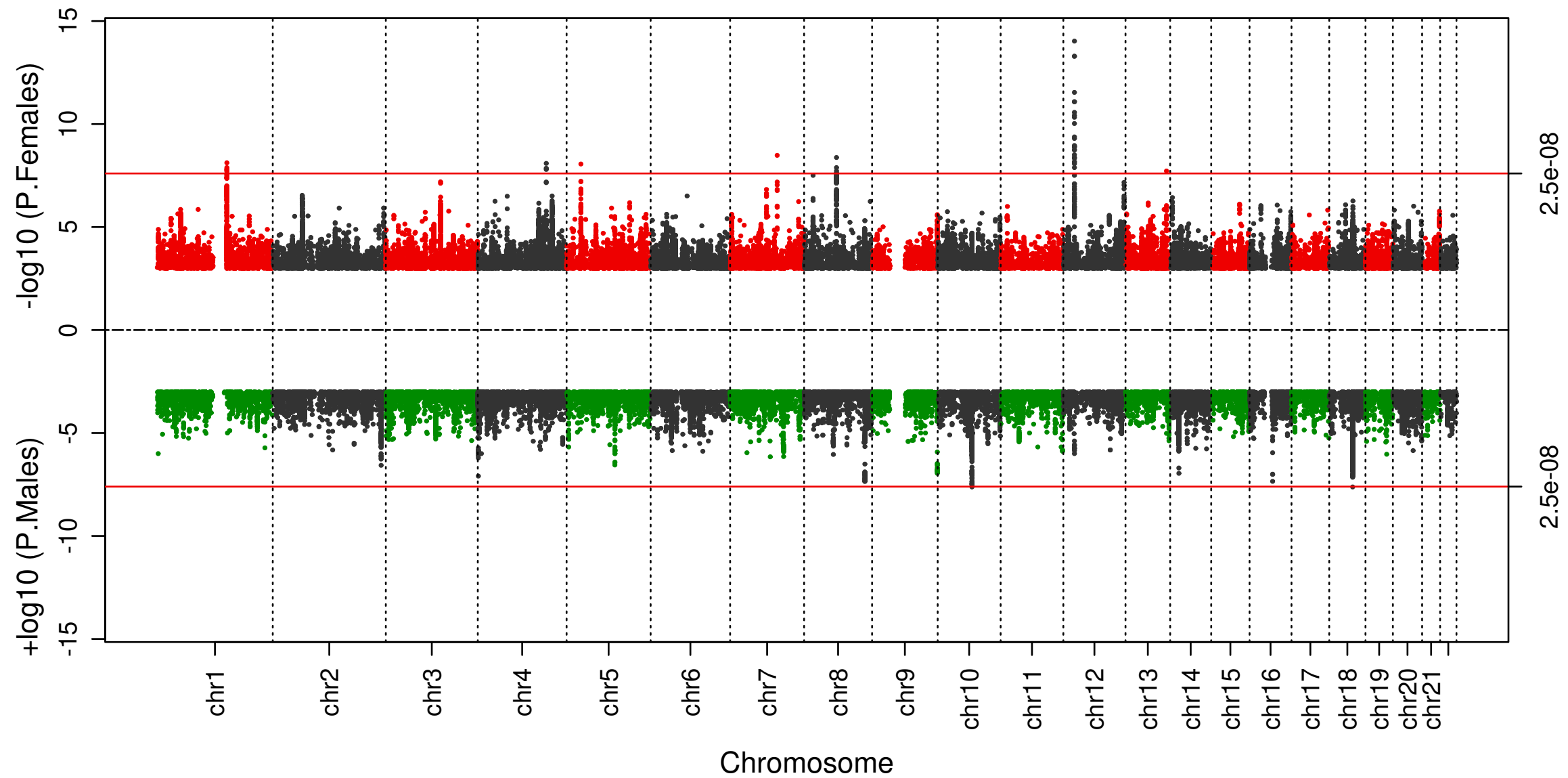


Figure 4

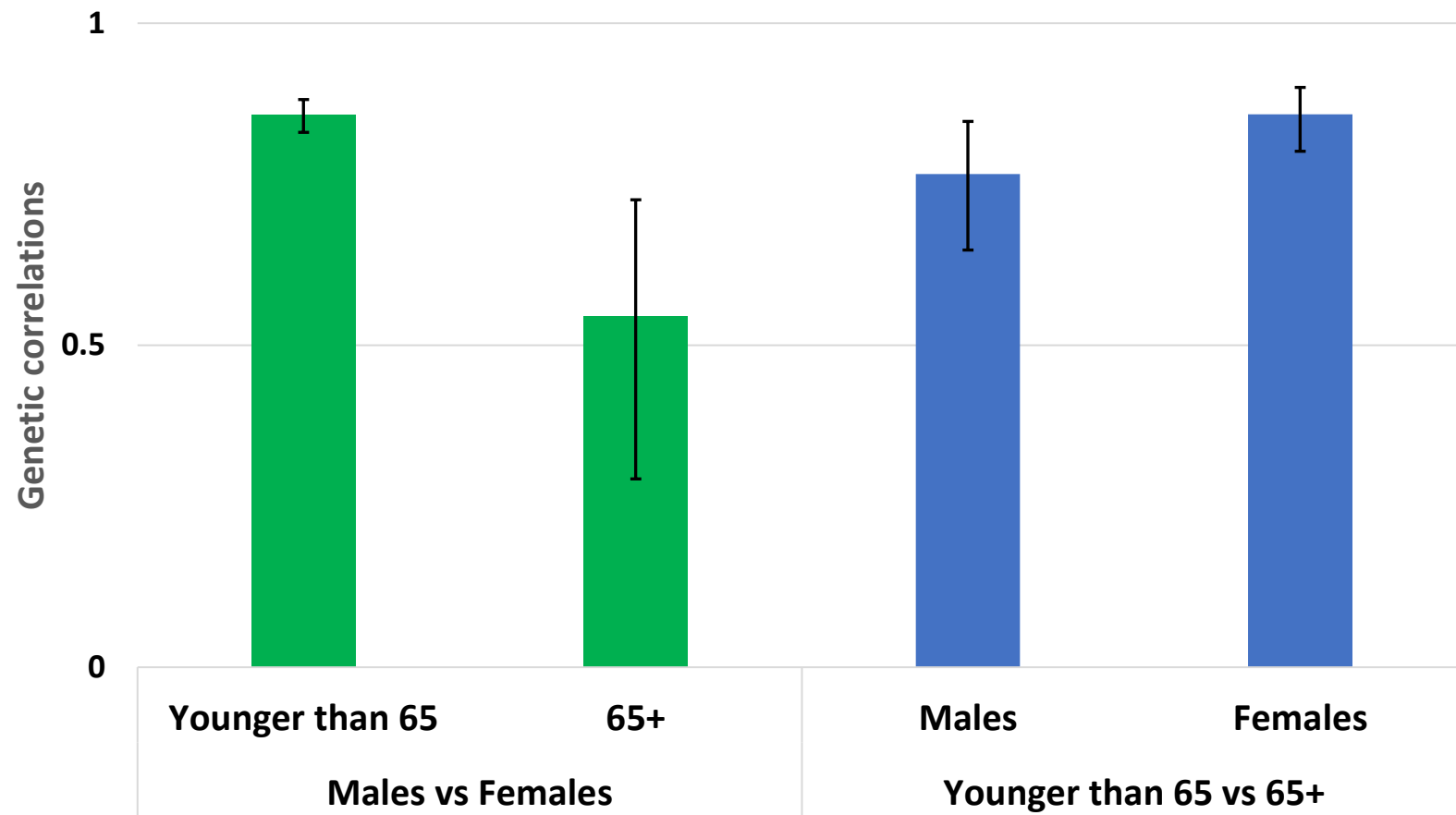


Table 1 – Discovery and replication cohorts

Trait	Group	UK Biobank (Discovery cohort)	Replication Cohorts			
			Generation Scotland (Scottish Family Health Study)	OPPERA (Orofacial Pain: Prospective Evaluation and Risk Assessment)	HUNT (The Nord-Trøndelag Health Study)	ELSA (English Longitudinal Study of Aging)
Sample size	Males	202,077	8,023	575	32,362	2,780
	Females	237,754	11,576	1,009	34,172	3,335
Cases / controls	Males	35,705 / 166,372	1,413 / 6,610	207 / 368	8,569 / 23,793	534 / 2,246
	Females	43,230 / 194,524	2,454 / 9,122	478 / 531	11,247 / 22,898	960 / 2,375
Age ± SD, years	Males	57.5±8.1	47.32±15.19	27.44±7.26	48.1±16.50	64.85±21.29
	Females	57.1±7.9	47.60±14.83	28.02±7.54	47.7±17.50	64.94±20.61
BMI± SD, kg/m²	Males	27.9±4.2	26.93±4.52	25.68±4.72	26.6±3.60	25.85±7.79
	Females	27.0±5.1	26.55±5.68	24.93±5.98	26.1±4.60	25.37±8.81
Genotyping array		UK Biobank Affymetrix Axiom and UK BiLEVE Affymetrix Axiom array	Illumina HumanOmniExpressExom e8v1-2_A or OmniExpressExome-8v1_A	Illumina HumanOmni 2.5M	Illumina HumanCoreExome	Illumina HumanOmni 2.5M
Imputation panel		UK10K, 1000 Genomes phase 3, HRC.r1-1	HRC.r1-1	1000 Genomes phase I	Customized reference panel*	1000 Genomes phase I
Association analysis software		BOLT-LMM 2.3.2	GCTA64	PLINK1.9	SAIGE 0.35.8.3	GCTA64

Legend to table 1: *The customized reference panel represented the merged panel of two reciprocally imputed reference panels: (1) 2,201 low-coverage whole-genome sequences samples from the HUNT study and (2) HRC v1.1 with 1,023 HUNT WGS samples removed before merging.

Table 2 – Genome-wide significant ($p < 2.5E-08$) loci associated with chronic BP in males and females in the total UK Biobank sample

Group	SNP	CHR:BP	Nearest / Overlapping gene*	Effect allele	Other allele	Effect allele frequency	Univariate analysis			Conditional and joint analysis			P_{int}^{**}	
							Effect size	SE	P-value	Effect size	SE	P-value	Raw	Adjusted
Males	rs1678626	10:73826335	<i>SPOCK2</i>	T	C	0.445	-0.0068	0.0012	2.40E-08	-0.0068	0.0012	2.37E-08	0.1857	1.000
	rs72922230	18:50394407	<i>DCC</i>	A	G	0.598	-0.0069	0.0012	2.40E-08	-0.0069	0.0012	2.41E-08	0.1143	1.000
Females	rs367563576	1:150495378	<i>LINC00568</i>	T	TAC	0.609	0.0067	0.0012	7.60E-09	0.0067	0.0012	7.66E-09	0.0035	0.0315
	rs62327819	4:147211141	<i>SLC10A7</i>	C	T	0.322	-0.0070	0.0012	8.10E-09	-0.0070	0.0012	8.10E-09	1.15E-09	1.04E-08
	rs1039325	5:30761421	<i>RP11-136H13.2</i>	T	G	0.417	-0.0065	0.0011	8.70E-09	-0.0065	0.0011	8.77E-09	0.0027	0.0243
	rs116007789	7:101223945	<i>LINC01007</i>	C	T	0.998	-0.0785	0.0133	3.30E-09	-0.0785	0.0133	3.32E-09	0.0057	0.0513
	rs7834973	8:69639672	<i>C8orf34</i>	T	G	0.609	-0.0068	0.0012	4.20E-09	-0.0068	0.0012	4.26E-09	0.0142	0.1278
	rs12308843	12:23974404	<i>SOX5</i>	G	C	0.764	-0.0103	0.0013	9.40E-15	-0.0103	0.0013	9.44E-15	0.0091	0.0819
	rs2391333	13:107166694	<i>EFNB2</i>	C	T	0.615	-0.0066	0.0012	1.90E-08	-0.0066	0.0012	1.87E-08	0.0005	0.0045

Legend to table 2: Genome-wide association study for chronic BP in UK Biobank by sex. Conditional and joint analysis (COJO) was applied to identify conditionally independent SNPs. Bold results denote significant SNP-by-sex interactions.

* According to SNP-nexus software (<https://www.snp-nexus.org/>)

** P-values for SNP-by-sex interaction analysis (raw and adjusted for 9 tests)

Table 3 – Results of replication of genome-wide significant loci in males and females

Top SNP	Proxy SNP	Effect allele*	Other allele*	EAF (SE)**	Weight	Z-score	P-value	Direction***	I ² , %	χ ² (df)	P-value for χ ²
Males											
rs1678626	rs1049269 (OPPERA, ELSA)	T (A)	C (G)	0.453 (0.010)	43,740	-2.992	0.0028	---+	58.2	7.2 (3)	0.066
rs72922230	rs11665656 (GS)	A (G)	G (A)	0.585 (0.013)	43,740	-1.431	0.1523	+++	26.4	4.0 (3)	0.254
Females											
rs367563576	rs7513205 (HUNT, GS)	T (A)	TAC (G)	0.631 (0.022)	50,092	1.693	0.0904	+--+	0.0	2.7 (3)	0.444
rs62327819 (LD = 1 with rs7682719 in UK Biobank)	rs7682719 (all cohorts)	C (T)	T (C)	0.316 (0.005)	50,092	2.818	0.0048	+++	47.8	5.7 (3)	0.125
rs1039325		T	G	0.406 (0.007)	50,092	-1.594	0.1109	--+	34.1	4.5 (3)	0.208
rs116007789		C	T	0.999 (0.001)	49,083	0.615	0.5385	---+	66.6	6.0 (2)	0.050
rs7834973		T	G	0.608 (0.003)	50,092	-0.105	0.9161	---+	0.0	1.3 (3)	0.736
rs12308843	rs2955526 (ELSA)	G (C)	C (A)	0.769 (0.006)	50,092	-1.885	0.0595	----	0.0	1.6 (3)	0.667
rs2391333		C	T	0.588 (0.022)	50,092	2.237	0.0253	+++	25.9	4.0 (3)	0.256

Legend to table 3: * Effect and other alleles for replication SNP; corresponding alleles for proxy SNPs are given in brackets; ** Mean effect allele frequency (standard error); *** In the order of HUNT, GS, OPPERA, ELSA. Bolded are statistically significant results after correction for 9 tests (p<0.0056).

Table 4 – Replication of SNP-by-sex interaction signals

SNP	Cohort	Males				Females				t-statistic	df	P_{int}	P_{meta}
		EAF	Effect size	SE	P-value	EAF	Effect size	SE	P-value				
rs367563576	UK Biobank	0.609	0.0018	0.0012	0.1600	0.609	0.0067	0.0012	7.6E-09	-2.923	392750	0.0035	0.6477
	Replication cohorts												
	Generation Scotland (rs7513205)	0.588	-0.0066	0.0061	0.2768	0.5923	-0.0028	0.0055	0.6083	-0.502	17374	0.6157	
	OPPERA	0.605	0.0277	0.1362	0.8385	0.604	-0.0229	0.0951	0.8098	0.304	1126	0.7612	
	HUNT (rs7513205)	0.642	0.0012	0.0034	0.7325	0.644	0.0076	0.0036	0.0327	-1.277	59599	0.2017	
	ELSA	0.630	-0.0011	0.0110	0.9207	0.639	0.0096	0.0118	0.4143	-0.631	5912	0.5279	
rs7682719 (proxy for rs62327819)	UK Biobank	0.316	0.0037	0.0013	0.0044	0.314	-0.0068	0.0012	1.40E-08	6.056	392624	1.40E-09	0.0035
	Replication cohorts												
	Generation Scotland	0.314	-0.0083	0.0065	0.2044	0.315	0.0030	0.0059	0.6093	-1.299	17451	0.1940	
	OPPERA	0.318	-0.4069	0.1393	0.0035	0.292	-0.0357	0.1000	0.7210	-2.161	1153	0.0309	
	HUNT	0.321	-0.0043	0.0036	0.2327	0.319	0.0084	0.0037	0.0221	-2.515	59556	0.0119	
	ELSA	0.310	0.0072	0.0109	0.5077	0.305	0.0327	0.0115	0.0045	-1.441	5889	0.1495	
rs1039325	UK Biobank	0.415	-0.0016	0.0012	0.2000	0.417	-0.0065	0.0011	8.7E-09	2.996	392332	0.0027	0.6567
	Replication cohorts												
	Generation Scotland	0.418	-0.0080	0.0061	0.1909	0.416	-0.0112	0.0090	0.2151	0.235	19277	0.8142	
	OPPERA	0.417	0.2957	0.1279	0.0208	0.417	0.1211	0.0914	0.1852	1.109	1148	0.2678	
	HUNT	0.402	-0.0034	0.0034	0.3204	0.401	-0.0031	0.0035	0.3706	-0.094	59570	0.9254	
	ELSA	0.413	-0.0004	0.0104	0.9718	0.418	-0.0185	0.0107	0.0835	1.133	5832	0.2574	
rs2391333	UK Biobank	0.616	-0.0007	0.0013	0.5700	0.615	-0.0066	0.0012	1.9E-08	3.458	392630	0.0005	

Replication cohorts											0.1397
Generation Scotland	0.613	0.0062	0.0062	0.3140	0.618	-0.0054	0.0092	0.5550	1.062	19250	0.2884
OPPERA	0.625	-0.0215	0.1254	0.8635	0.615	-0.1108	0.0926	0.2314	0.5720	1180	0.5675
HUNT	0.571	-0.0032	0.0034	0.3513	0.573	0.0071	0.0035	0.0447	-2.124	59606	0.0336
ELSA	0.615	0.0052	0.0103	0.6131	0.629	0.0196	0.0109	0.0719	-0.853	5893	0.3939

Legend to table 4: SNP-by-sex interaction analysis was carried out by comparing effect sizes in males and females as detailed in Methods. P_{int} , p-value for SNP-by-sex interaction; P_{meta} , p-value for Fisher's combined probability test. Highlighted are nominally significant associations or interactions. Details of replication cohorts are provided in Supplementary Table 1.

Table 5 – SNP-based heritability of chronic BP by age and sex in the UK Biobank

Age group	All	Males	Females	<i>P-value for males vs females</i>
Total sample	0.068±0.002	0.067±0.003	0.079±0.003	0.005
“Younger than 65”	0.069±0.002	0.068±0.004	0.080±0.003	0.033
“65+”	0.066±0.007	0.046±0.013	0.098±0.013	0.005
<i>P-value for “Younger than 65” vs “65+”</i>	0.699	0.092	0.198	

Legend to table 5: SNP-based heritability was calculated using REML algorithm implemented in BOLT-LMM software; p-values of male vs female and between-age group differences are given.

Table 6 – Genetic correlation between cBP and 10 risk factors in males and females

Trait	Males		Females		<i>P_{diff}</i>	
	<i>r_g</i>	p-value	<i>r_g</i>	p-value	Raw	Adjusted
Intervertebral disc problems (self-reported)	0.889	5.6E-19	0.638	1.6E-14	3.7E-07	3.7E-06
Osteoarthritis	0.494	6.1E-19	0.599	3.4E-36	0.042	0.420
BMI	0.291	6.7E-28	0.357	9.9E-46	0.045	0.450
Scoliosis	0.220	0.089	0.433	5.9E-05	0.156	1.000
Smoking	0.343	2.2E-26	0.325	5.8E-27	0.650	1.000
Depression	0.395	7.3E-12	0.408	5.9E-16	0.835	1.000
Fluid intelligence score	-0.309	2.2E-17	-0.289	3.5E-19	0.643	1.000
Happiness/wellbeing	0.172	0.002	0.160	0.004	0.873	1.000
Anxiety/panic attacks	0.208	0.017	0.192	0.010	0.883	1.000
Educational attainment	-0.408	3.2E-43	-0.438	1.3E-70	0.319	1.000

Legend to Table 6: Genetic correlation between chronic BP and putative risk factors for BP, by sex and comparison thereof before (*P_{diff}* Raw) and after adjustment for 10 tests (*P_{diff}* Adjusted).